# An Introduction toRe-identification Risk Measurement

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### Disclosures

 Khaled El Emam is co-founder and director of <u>Replica Analytics Ltd</u>, a spinoff company from the University of Ottawa / CHEO Research Institute specializing in the development of data synthesis software for health data. In December 2021 Replica was acquired by <u>Aetion</u>.



#### **Basic definitions – identity disclosure is** when a person's identity is assigned to a record





Sex	Year of Birth	NDC
Male	1975	009-0031
Male	1988	0023-3670
Male	1972	0074-5182
Female	1993	0078-0379
Female	1989	65862-403
Male	1991	55714-4446
Male	1992	55714-4402
Female	1987	55566-2110
Male	1971	55289-324
Female	1996	54868-6348
Male	1980	53808-0540



# Basic definitions – generalization means that more than one record can match a person

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### Attacks can be in two directions – population to sample attack

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### Risk is measured by the group size

Sex	Year of Birth	NDC	Group Size	Risk
Male	1975	009-0031	1	1
Male	1988	0023-3670	1	1
Male	1972	0074-5182	1	1
Female	1993	0078-0379	1	1
Female	1989	65862-403	1	1
Male	1991	55714-4446	1	1
Male	1992	55714-4402	1	1
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Male	1971	55289-324	1	1
Female	1996	54868-6348	1	1
Male	1980	53808-0540	1	1

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# When we generalize the group size gets bigger, so the risk decreases



Sex	Decade of Birth	NDC	Group Size	Risk
Male	1970-1979	009-0031	3	0.33
Male	1980-1989	0023-3670	2	0.5
Male	1970-1979	0074-5182	3	0.33
Female	1990-1999	0078-0379	2	0.5
Female	1980-1989	65862-403	2	0.5
Male	1990-1999	55714-4446	2	0.5
Male	1990-1999	55714-4402	2	0.5
Female	1980-1989	55566-2110	2	0.5
Male	1970-1979	55289-324	3	0.33
Female	1990-1999	54868-6348	2	0.5
Male	1980-1989	53808-0540	2	0.5

### But it is actually the population group size that matters

Sex	Year of Birth	NDC	Group Size	Risk
Male	1970-1979	009-0031	3	
Male	1980-1989	0023-3670	2	
Male	1970-1979	0074-5182	3	
Female	1990-1999	0078-0379	2	
Female	1980-1989	65862-403	2	0.1
Male	1990-1999	55714-4446	2	
Male	1990-1999	55714-4402	2	
Female	1980-1989	55566-2110	2	0.1
Male	1970-1979	55289-324	3	
Female	1990-1999	54868-6348	2	
Male	1980-1989	53808-0540	2	





### Identifiability spectrum and risk thresholds







**Not Identifiable** Data (Probability=Ø)



### **Privacy-Utility Trade-off**







## A common approach that has worked well in practice is risk-based anonymization

Data Transformations

- Generalization
- Suppression
- Addition of noise
- Microaggregation



#### Security controls Privacy controls Contractual controls



