




SESSION 6: DE-FACING

11:00 am - 11:10 am Ying Xiao, PhD  , University of Pennsylvania

11:10 am - 11:20 am Christopher Schwarz, PhD  , Mayo Clinic

Face Recognition and De-Identification of Research Brain Images with mri_reface

11:20 am - 11:30 am Douglas Greve, PhD  , MGH/Harvard

MIDEFACE: Minimally Invasive Defacing

11:30 am - 11:50 am *Panel Discussion*




Ying Xiao, Ph.D.


MEDICAL IMAGE DE-FACING AND CLINICAL RESEARCH DATA SHARING

MIDI Workshop, May 23, 2023




SESSION 6: DE-FACING

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11:10 am - 11:20 am Christopher Schwarz, PhD  , Mayo Clinic

Face Recognition and De-Identification of Research Brain Images with mri_reface

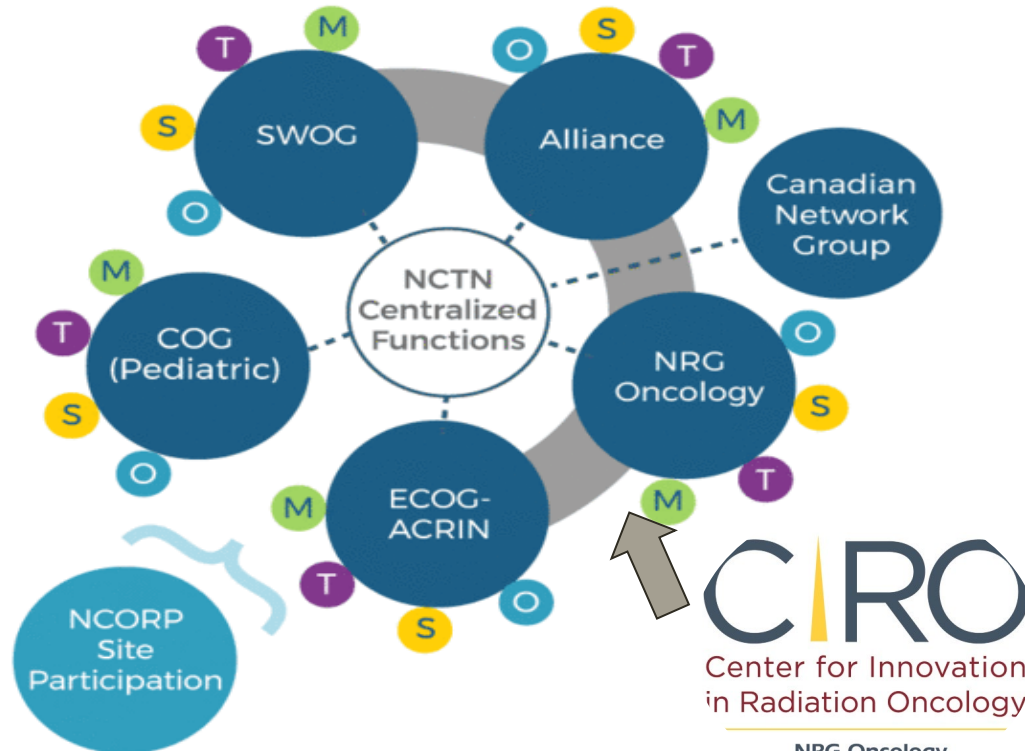
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MIDEFACE: Minimally Invasive Defacing

11:30 am - 11:50 am *Panel Discussion*

NCTN : NCI'S NATIONAL CLINICAL TRIALS NETWORK

NCI National Clinical Trials Network Structure



IROC[®]
IMAGING AND
RADIATION ONCOLOGY CORE

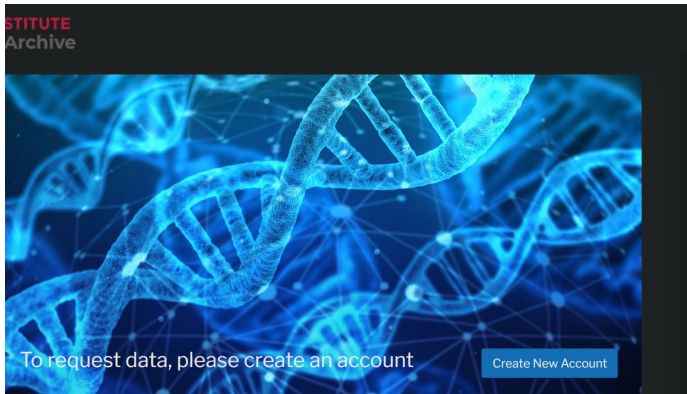
LEGEND

- Centralized Functions
 - Centralized Institutional Review Board
 - Cancer Trials Support Unit
 - Imaging and Radiation Oncology Core (IROC) Group
 - Common Data Management System Central Hosting
- 32 Lead Academic Participating Sites (LAPS)
- Operations
- Statistics & Data Management
- Tissue Banks
- Member Sites

CIRRO
Center for Innovation
in Radiation Oncology

NRG Oncology

cancer.gov



One dataset for H&N
 Two datasets with images for Lung

Type

- Neoplasm - Testicular Germ Cell Cancer
- Neoplasm - Miscellaneous
- Neck Neoplasm - Nasopharyngeal Cancer
- Neck Neoplasm - Precancerous Condition
- Neck Neoplasm - Salivary Gland Cancer
- Neck Neoplasm - Squamous Cell Carcinoma
- Neoplastic Neoplasm (excluding Leukemia, Lymphoma and Myeloma) - Mastocytosis
- Neoplastic Neoplasm (excluding Leukemia, Lymphoma and Myeloma) - Miscellaneous
- Neoplastic Neoplasm/Leukemia - Acute Lymphoblastic Leukemia

Study ID	NCT Trial Number	Disease Types	Dataset ID	Has a Collaborative Agreement	PubMed ID
ARAR0331	NCT00274937	Head and Neck Neoplasm - Nasopharyngeal Cancer	NCT00274937-D1, NCT00274937-D2	No	31

Available?

Type

- Neoplasm - Wilms Tumor
- Neoplasm - Mediastinal Cancer
- Neoplasm - Mesothelioma
- Neoplasm - Miscellaneous
- Neoplasm - Non-Small Cell Lung Cancer
- Neoplasm - Small Cell Lung Cancer
- Neoplasm - Carcinoma, Miscellaneous
- Neoplasm - Metastases, Distant (excluding specific types)
- Neoplasm - Other

Study ID	NCT Trial Number	Disease Types	Dataset ID	Has a Collaborative Agreement	PubMed ID
50819	NCT00946712	Lung, Mediastinal and Pleural Neoplasm - Non-Small Cell Lung Cancer	NCT00946712-D1, NCT00946712-D2, NCT00946712-D3	Yes	2395
RT0G-0617	NCT00533949	Lung, Mediastinal and Pleural Neoplasm - Small Cell Lung Cancer	NCT00533949-D1, NCT00533949-D2, NCT00533949-D3	Yes	2560

Available?

NCTN/NCORP DATA ARCHIVE



DE-FACING ALGORITHMS

De-facing algorithms are an essential tool for protecting personal privacy in medical images.

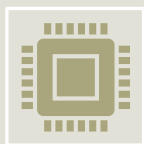
Quick-shear: By identifying a plane to divide the head into two parts and remove the part containing facial features.

Biometric_Mask : Remove the front face and ears by defining several rectangular masks.

Carina: Generate masks to compensate for facial features by interpolating based on depth for recognition.

MRI_reface: The face and ear regions of each patient were replaced with voxels from a population-average face.

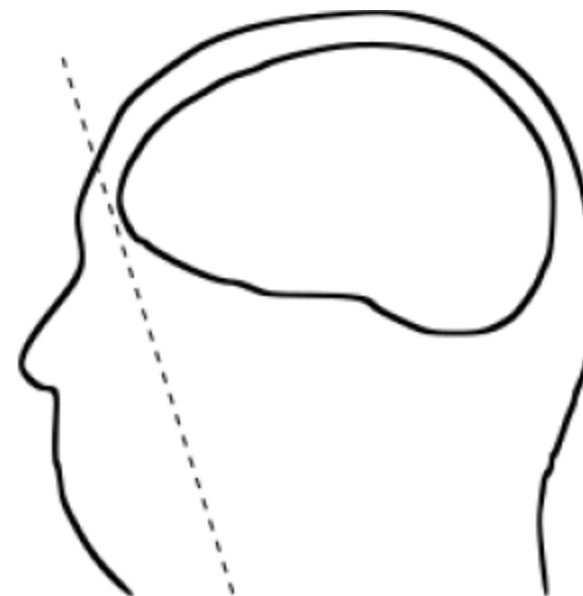
QUICKSHEAR



Offers an image de-identification method that aims to eliminating the need for a manually labeled face atlas and a computationally expensive transform to atlas space.



Identifies a plane that divides the volume into two sections, one containing facial features and another containing the entire brain volume.

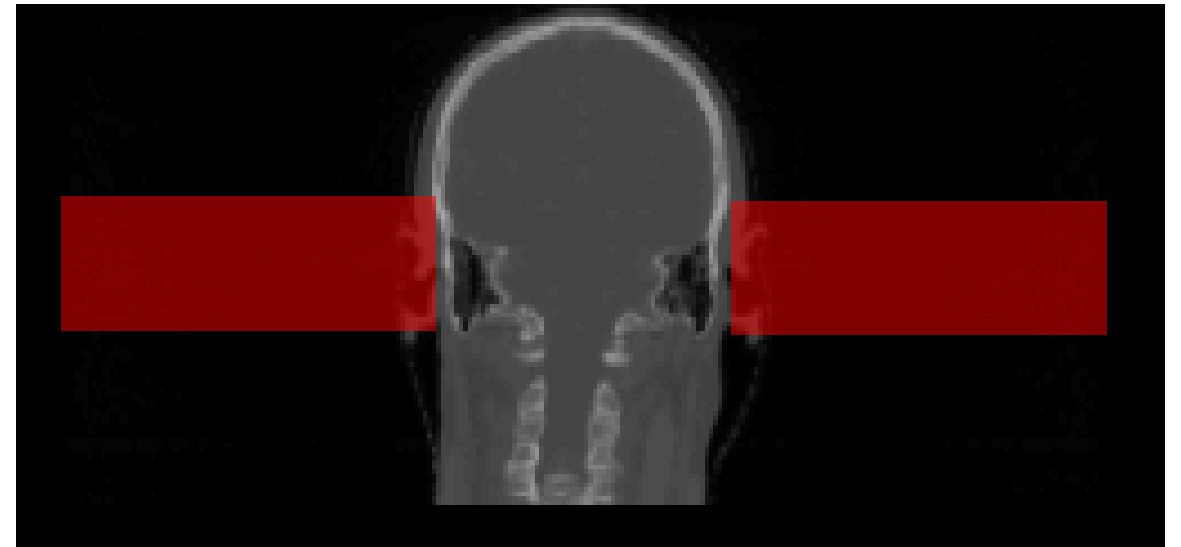


Schimke, Nakeisha, and John Hale. "Quickshear defacing for neuroimages." Proceedings of the 2nd USENIX conference on Health security and privacy. USENIX Association, 2011.

BIOMETRIC_MASK DEFACING

A defacing tool under ichseg R package which aimed to perform preprocessing on computed tomography (CT) scans, including skull stripping

CT-biometric defacing is an aggressive defacing algorithm that cut off the face and ears with a rectangular mask.





MRI_REFACE: INTENSITY NORMALIZATION & ARTIFACT REMOVAL FOR PRIVACY

- Match transformed template and target image intensities
- Swap face and ear voxels with transformed template voxels
- Replace air voxels $>10x$ the mean to eliminate identifiable information (MRI only)
- Preserve brain volume measurements while ensuring privacy

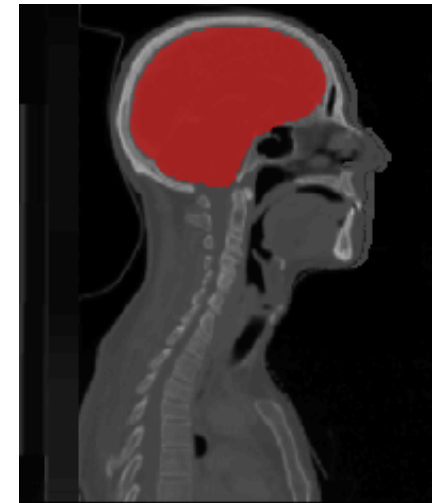
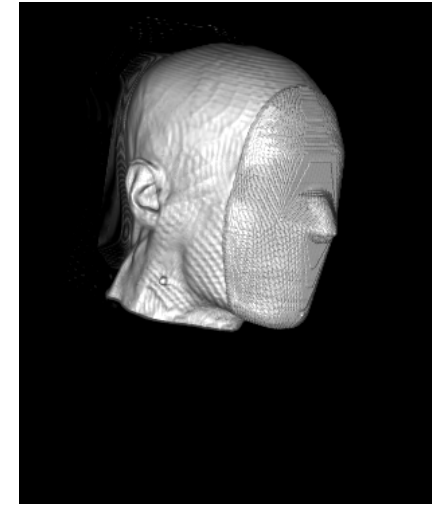
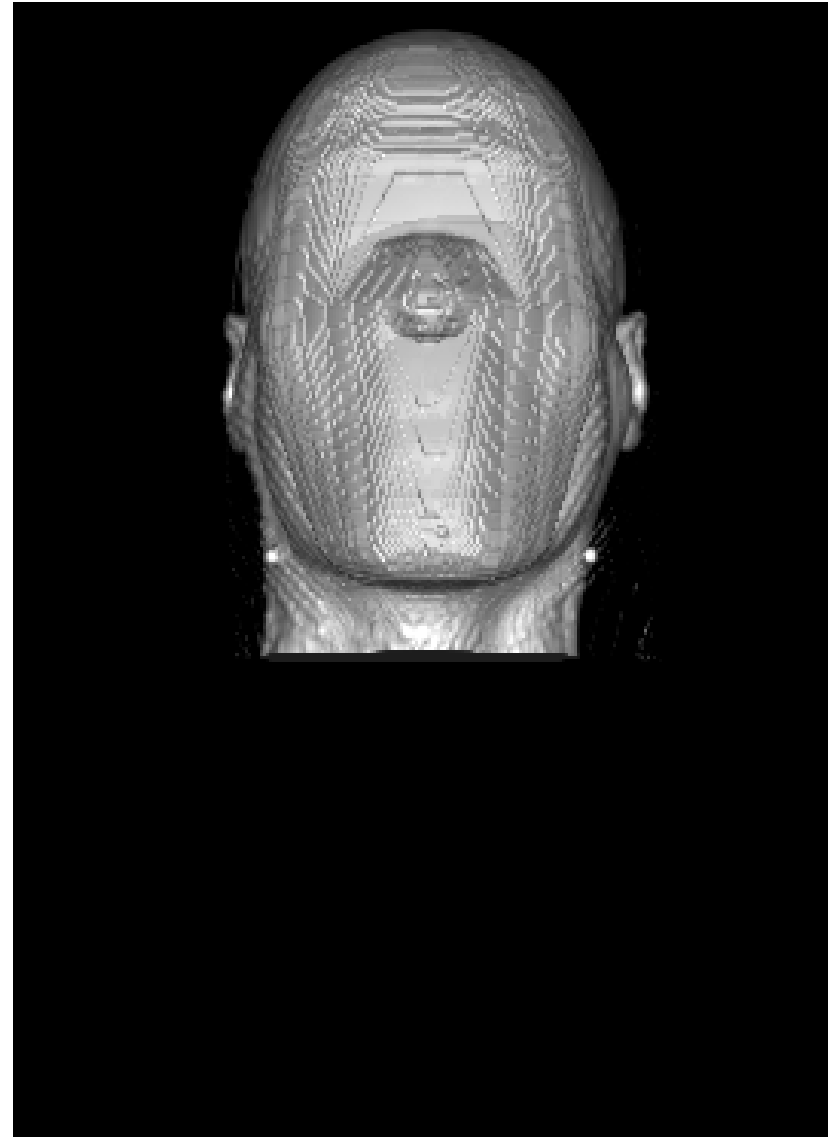


CARINA DEFACING

Body segmentation and face detection at first, then generated a "mask" with similar pixel intensities as the face.

By interpolation according to the depth, the mask is generated to compensate the facial features for identification.

Eventually the mask gets applied to the images on the top.



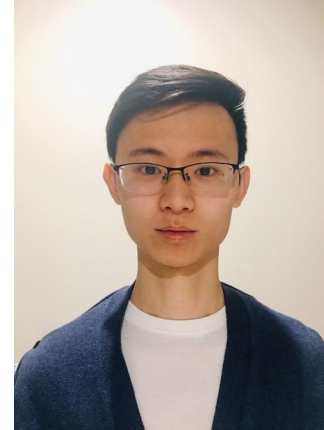
OUR STUDY

Data from The Cancer Imaging Archive (TCIA)

- Brain Data (CT, MRI, RTst, RTDose)
- Head and Neck (CT, PET , RTst, RTDose)

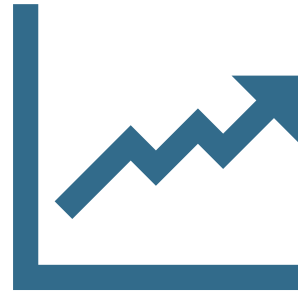
De-facing Tools:

- Quickshear
- Biometric_Mask
- MRI-reface
- Carina



Evaluation

- De-facing performance: Measure the success rate to re-identify the de-faced patient
- Impact on Radiotherapy



Measuring Defacer Success

Measure the success rate to re-identify the de-faced patient



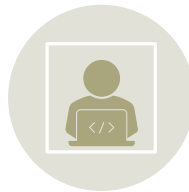
The effects of defacing on radiotherapy

Compare the radiomics features of critical structures between the original and de-faced CT

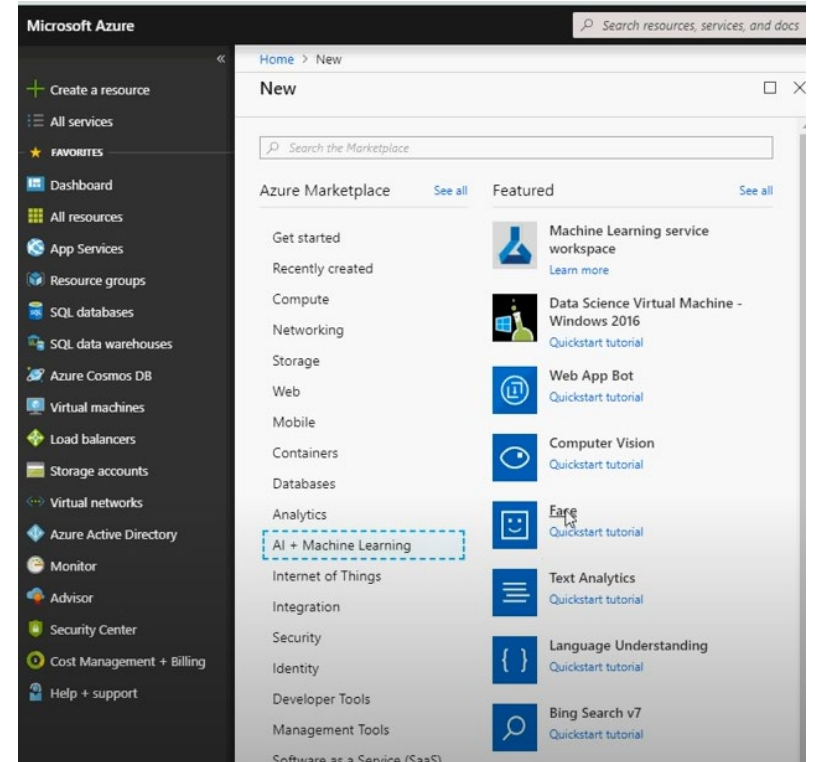
MICROSOFT AZURE COGNITIVE FACE API



A powerful cloud-based service that provides advanced facial recognition and analysis capabilities.



It allows developers to integrate face detection, recognition, and emotion analysis functionalities into their applications and systems.



FACE_RECOGNITION



Face recognition library.
https://github.com/ageitgey/face_recognition



State-of-the-art face recognition built with deep learning and have very high accuracy



Face detection
Get the locations and outlines of each person's eyes, nose, mouth, and chin.



Face recognition
Face distance 0-1



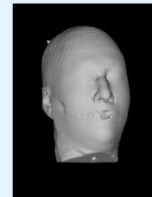
Input



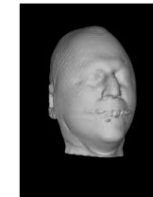
Output

Known People

is PC > New Volume (D:) > De-facing > Data > Picture > GBM > Ori > CT



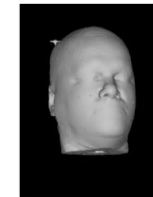
GBM_001



GBM_002



GBM_005

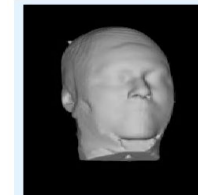


GBM_006

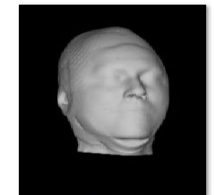


Unknown Pictures

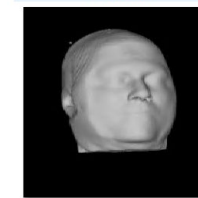
:PC > New Volume (D:) > De-facing > Data > Picture > GBM > mri_reface > CT



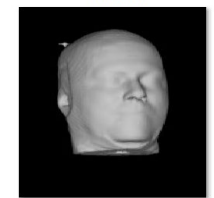
GBM_Def_001



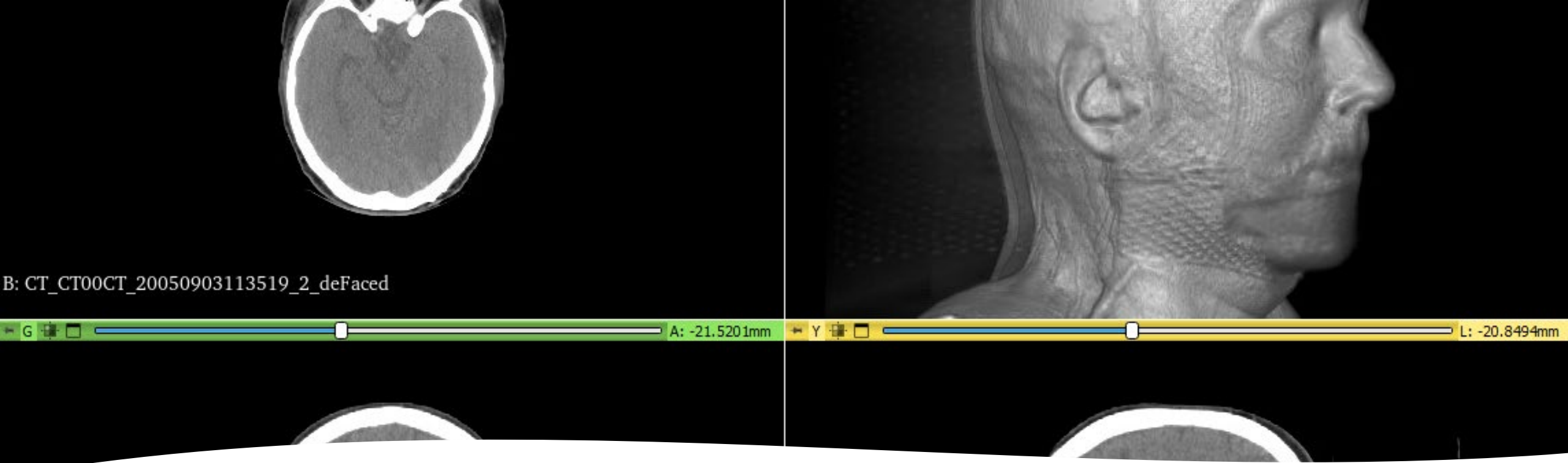
GBM_Def_002



GBM_Def_005



GBM_Def_006



RESULTS — FACIAL RECOGNITION

- 3D slicer to reconstruct images
- Use the reconstructed original image as the know patient group to identify de-faced images
- Tolerance set at 0.3

FACIAL RECOGNITION

Defacing Method	CT		MRI	
	No. of Cases	Faces detected	No. of Cases	Faces detected
Quickshear	119	0	62	0
Biometric_Mask	102	0	62	0
Mri-reface	119	0	62	0
Carina	103	0	8	0

EVALUATION OF THE EFFECTS OF DEFACING ON RADIOTHERAPY

119 CT Cases

Evaluate structures: Bone-Mandible, Brain, Brainstem, Cavity_Oral, Eye_L/R, OpticChiasm, OpticNrv_L/R, Parotid_L/R

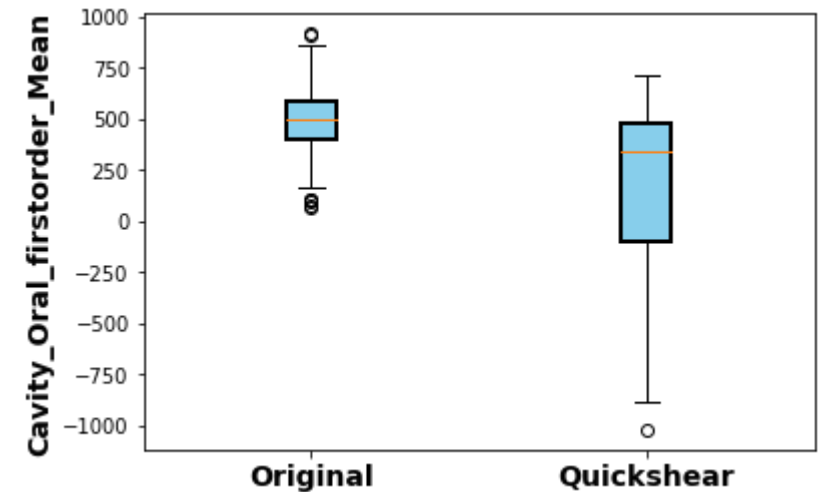
First-order radiomics features of each structure were compared between original and defaced CT images.

- First-order statistics describe the distribution of voxel intensities within the image region defined by the mask through commonly used and basic metrics.

PRELIMINARY RESULTS

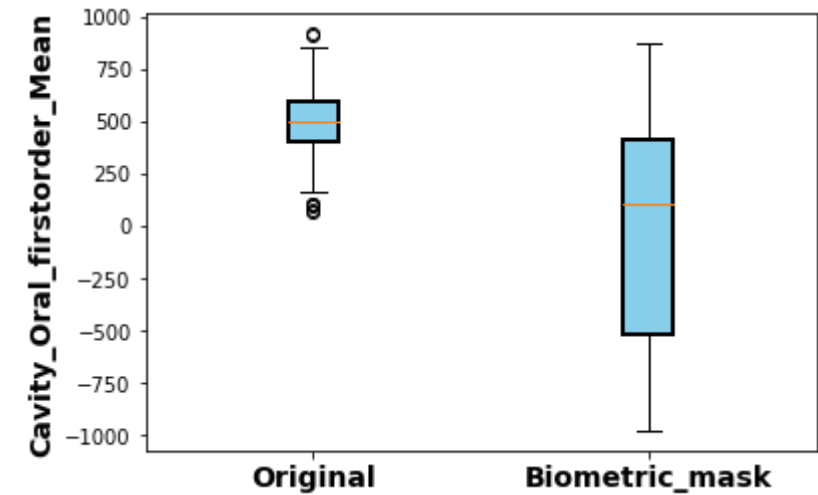
RADIOMICS EVALUATION - QUICKSHEAR

	90Percentile	Energy	Entropy	Kurtosis	Maximum	Median	Range	Skewness	Uniformity	Variance
Bone_Mandible	3.19%	-45.72%	8.24%	-6.65%	6.46%	25.14%	-15.71%	-229.01%	-359.65%	-98.63%
Brain	-1.96%	-211.39%	-0.46%	22.77%	2.17%	-2.13%	-17.29%	187.15%	2.71%	-230.39%
Brainstem	-4.30%	-1038.97%	-9.13%	-4419.94%	-6.46%	-1.98%	-637.22%	6168.16%	7.57%	-2449.34%
Target	-3.04%	-187.16%	-2.76%	-224.40%	-9.04%	-2.17%	-44.83%	9367.15%	2.59%	-173.97%
Cavity_Oral	6.31%	-518.95%	6.02%	-1033.10%	5.37%	-54.57%	-212.57%	910.46%	-15.08%	-316.07%
OpticChiasm	-3.17%	-3099.68%	-4.22%	-1907.52%	9.49%	-8.39%	-672.96%	6347.63%	4.72%	-3630.12%
Eye_L	-2.08%	-3251.90%	-5.71%	-2292.37%	0.73%	-2.62%	-371.91%	-934.78%	6.49%	-3649.96%
Eye_R	-2.29%	-2639.79%	-5.66%	-2420.06%	0.02%	-3.24%	-400.87%	3413.84%	6.47%	-3221.09%
OpticNrv_L	6.31%	-518.95%	6.02%	-1033.10%	5.37%	-54.57%	-212.57%	910.46%	-15.08%	-316.07%
OpticNrv_R	9.74%	-499.77%	7.20%	-1042.97%	10.97%	-34.35%	-205.41%	-782.34%	-16.27%	-320.98%
Parotid_L	-8.00%	-1269.59%	-3.82%	-1285.32%	0.22%	-10.74%	-166.00%	-251.60%	4.74%	-1051.12%
Parotid_R	-9.55%	-1361.84%	-3.85%	-1181.79%	-1.71%	-12.96%	-174.28%	-12319.38%	4.76%	-1106.97%



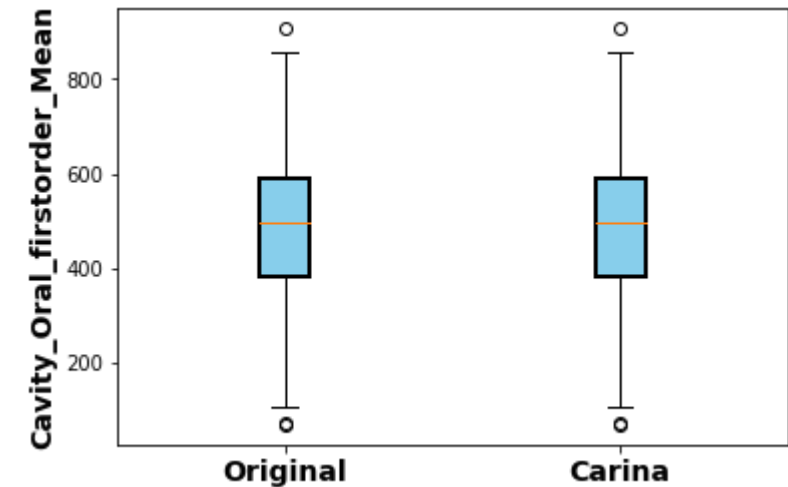
RADIOMICS EVALUATION – BIOMETRIC_MASK

	90Percentile	Energy	Entropy	Kurtosis	Maximum	Median	Range	Skewness	Uniformity	Variance
Bone_Mandible	5.04%	6.17%	10.21%	9.26%	4.51%	34.98%	-16.00%	180.95%	-441.67%	-169.74%
Brain	0.00%	-71.88%	-0.42%	0.92%	0.00%	0.16%	-0.65%	1.42%	0.34%	-118.57%
Brainstem	3.66%	-870.69%	0.10%	-1.01%	0.02%	37.25%	-7.03%	353.50%	-0.59%	-389.98%
Target	-0.31%	-27.79%	-0.57%	-7.18%	-10.36%	-0.14%	-3.84%	17.51%	0.33%	-36.88%
Cavity_Oral	44.09%	-17.60%	21.48%	-46.58%	4.38%	442.17%	1.49%	-10.87%	-385.31%	-2.85%
OpticChiasm	54.36%	3063.18%	1.94%	0.91%	35.38%	90.19%	1.91%	29.24%	2.42%	1.94%
Eye_L	19.21%	-881.98%	0.34%	-100.08%	8.12%	41.73%	-10.42%	77.77%	-1.36%	-15.63%
Eye_R	-0.36%	-182.98%	-1.08%	-21.02%	-2.06%	0.20%	-15.81%	59.86%	0.71%	-509.64%
OpticNrv_L	19.46%	-235.34%	0.98%	0.87%	3.71%	71.64%	0.98%	1.26%	-4.51%	0.98%
OpticNrv_R	18.92%	-285.79%	0.60%	-0.11%	0.61%	66.93%	-1.29%	-1.44%	-2.49%	-23.08%
Parotid_L	4.83%	-719.43%	-3.28%	-315.67%	-0.13%	-3.40%	-54.71%	-215.24%	3.09%	-928.38%
Parotid_R	0.76%	-899.32%	-1.23%	-155.63%	-0.23%	-52.03%	-35.22%	-823.16%	0.85%	-539.02%



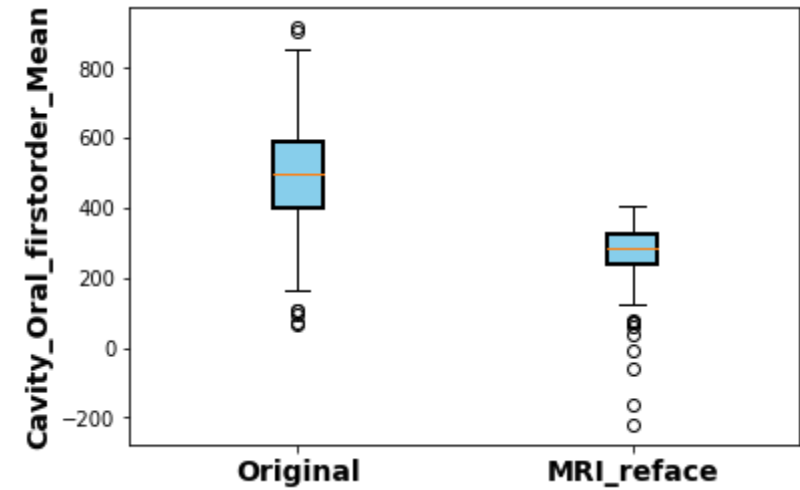
RADIOMICS EVALUATION - CARINA

	90Percentile	Energy	Entropy	Kurtosis	Maximum	Median	Range	Skewness	Uniformity	Variance
Bone_Mandible	0.04%	0.06%	0.00%	-0.02%	0.04%	0.02%	0.02%	-0.10%	-0.02%	0.06%
Brain	0.06%	-0.09%	0.10%	0.17%	0.03%	0.03%	0.00%	0.05%	-0.21%	-0.16%
Brainstem	0.11%	0.14%	0.18%	-0.10%	0.13%	0.00%	-0.03%	-3.38%	-0.21%	0.37%
Target	-0.44%	-31.13%	-0.50%	-43.15%	-16.79%	-0.31%	-6.66%	107.15%	0.03%	-37.44%
Cavity_Oral	0.05%	0.10%	0.04%	-0.13%	-0.12%	0.07%	0.00%	-0.25%	-0.20%	0.16%
OpticChiasm	-0.26%	-0.49%	-0.06%	0.35%	-0.12%	0.04%	0.06%	0.65%	0.09%	-0.54%
Eye_L	0.30%	0.86%	0.42%	-10.12%	-3.63%	-0.02%	3.09%	0.68%	-0.38%	2.05%
Eye_R	0.21%	0.55%	0.42%	-7.25%	-2.31%	-0.06%	2.54%	52.57%	-0.35%	1.72%
OpticNrv_L	0.00%	0.32%	0.02%	0.63%	-0.02%	0.09%	0.38%	1.51%	-0.03%	0.34%
OpticNrv_R	0.11%	0.20%	0.03%	0.31%	0.06%	0.00%	0.29%	4.56%	-0.06%	0.20%
Parotid_L	0.06%	-0.14%	0.04%	-14.99%	-1.18%	0.00%	-0.86%	10.68%	-0.07%	-0.18%
Parotid_R	0.07%	-0.07%	-0.01%	-5.71%	-0.99%	0.04%	-0.80%	-14.68%	-0.03%	-0.38%



RADIOMICS EVALUATION – MRI-REFACE

	90Percentile	Energy	Entropy	Kurtosis	Maximum	Median	Range	Skewness	Uniformity	Variance
Bone_Mandible	17.50%	51.51%	-0.94%	-6.62%	29.11%	63.15%	16.51%	-322.63%	-6.58%	-31.94%
Brain	0.65%	-17.62%	-0.82%	12.68%	-0.34%	1.12%	0.54%	1.79%	-0.33%	-34.63%
Brainstem	1.64%	3.00%	1.63%	0.81%	1.68%	1.50%	2.13%	42.86%	-1.58%	4.18%
Target	1.47%	-47.87%	0.01%	-31.29%	-11.71%	3.41%	-5.17%	-92.20%	-1.48%	-78.56%
Cavity_Oral	20.22%	41.73%	-2.78%	-5.95%	29.45%	25.29%	30.61%	10.35%	18.37%	39.43%
OpticChiasm	3.08%	9.05%	2.55%	6.40%	8.08%	4.86%	7.59%	94.55%	-2.73%	9.00%
Eye_L	-87.35%	-3553.26%	-60.79%	-279.97%	-168.78%	-37.59%	-291.18%	150.80%	43.15%	-7116.73%
Eye_R	-71.33%	-3835.24%	-61.55%	-266.50%	-147.66%	-21.59%	-289.43%	793.18%	43.86%	-6963.23%
OpticNrv_L	2.95%	-54.18%	-3.47%	-57.19%	-41.43%	170.58%	-25.92%	-247.69%	5.72%	-68.44%
OpticNrv_R	5.36%	-13.44%	-4.13%	-12.93%	-12.22%	134.97%	-8.51%	5.04%	8.10%	-25.62%
Parotid_L	5.20%	-253.01%	-3.78%	-148.81%	-3.80%	-1.46%	-35.16%	-144.40%	2.55%	-309.27%
Parotid_R	-3.29%	-172.75%	-1.54%	-244.64%	-48.52%	3.04%	-61.72%	-742.33%	-0.57%	-244.46%



LIMITATIONS AND FUTURE STUDIES

No patients
photograph, limited
cases and image
quality

Apply defacing to
CT/MR/PET/RT Dose
Matrix

Evaluate other
defacing,
reconstruction and
facial recognition
tools

The background features a smooth color gradient from light green on the left to dark blue on the right. A dark blue silhouette of a mountain range is visible at the bottom, extending from the left towards the right side of the frame.

THANK YOU